

RULES

Thanks for your interest in the Roddenberry Catalyst Fund. The Catalyst Fund is sponsored by The Roddenberry Foundation. Please know that by participating in this Catalyst Fund and in accordance with these Rules you are eligible to receive a possible award from The Roddenberry Foundation of up to \$15,000. The Rules governing this Catalyst Fund ("RULES") are stated here.

1. Eligibility

The Roddenberry Foundation welcomes Catalyst Fund applications from the following:

- a. An organization recognized as a 501(c)3 organization by the Internal Revenue Service under the United States Internal Revenue Code (the "Code").
- b. A university, college or academic or research institution or center that is part of a university meeting the eligibility criteria if the university adopts the proposed approach as its own.
- c. An organization organized under the laws of a foreign jurisdiction which has received a tax determination letter from the IRS or has been determined to be the equivalent of a section 501(c)(3) and 509(a)(1) or (2) organization by a qualified tax practitioner for which an equivalency determination has not expired.
- d. An entity that is recognized under the law of the applicable jurisdiction as a non-governmental organization, an educational organization, a charitable organization, a social welfare organization, a not-for-profit organization, or similar-type entity that is not a for-profit organization or government agency.
- e. A for-profit organized and in good standing under the laws of the local jurisdiction in which it operates that is not a charitable organization but whose proposal has as its primary purpose the accomplishment of a charitable or educational purpose under Section 501(c)(3) of the Code and with respect to which no private interests will receive more than an incidental private benefit as determined by The Roddenberry Foundation.

The Roddenberry Foundation reserves the right to reject the submission of an Applicant if, in the Foundation's sole discretion, the laws of the governing jurisdiction applicable to the organization would prohibit or limit The Roddenberry Foundation from proceeding with the Catalyst Fund as intended or the consideration or making of any Award would impose additional administrative, tax, operational, or legal burdens on The Roddenberry Foundation.

2. Ineligible Persons or Entities

The following persons or entities are ineligible to apply for the Catalyst Fund:

- Individuals representing government entities are not eligible to apply.
 - Individuals who submit applications on behalf of an eligible organization and are also government officials or employees will be responsible for ensuring that their participation in the
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Competition is permitted by the rules and regulations relevant to their position and that they have obtained any authorization that may be required by virtue of their government position.

- Applications for initiatives intended to influence legislation or the outcome of any specific public election.
- Current and former directors, officers, employees or agents of The Roddenberry Foundation, and any of their subsidiaries and affiliates; Immediate Family (spouse, parent, child, sibling and their respective spouses, regardless of where they live) of such persons; or any persons living in the same households of such persons.

3. Guidance Related to Non-Charitable Applicants

Individuals applying to the Catalyst Fund with organizations that are not recognized as charitable Section 501(c)(3) organizations under the Code must ensure that any Award funds will be used solely for charitable purposes and will not result in private benefit to other people, organizations, or entities other than incidental benefit that is a necessary byproduct of the accomplishment of the charitable or educational purpose.

4. Judging

The selection of Catalyst Awardees is at the sole discretion of the The Roddenberry Foundation.

5. Supporting Documentation

In addition to the application requirements published on this website, all applicants will be required to submit the following documents before they are considered for any Catalyst Fund:

- Tax determination letter, if applicable.
- If You are not with a public charity exempt from taxation under Section 501(c)(3) of the Code, a statement that The Roddenberry Foundation grant funds will be spent only for charitable, religious, scientific, literary or educational purposes, and that The Roddenberry Foundation grant funds will not be used for lobbying purposes.
- The need for any additional legal documents will be determined on a case-by-case basis.

6. Treatment and Use of Intellectual Property

Each application should reflect the anticipated ownership, use, and licensing of any Intellectual Property Rights. You represent and warrant that Your proposed project is an original work created solely by You, that You own all Intellectual Property Rights in and to the , and that no other party has any right, title, claim or interest in the , except as expressly identified by You to us in writing in Your application. You retain all right, title and interest in any inventions, software or work of authorship You invent or create. The ownership and use of Intellectual Property Rights arising from this competition remains with You.

Please note that, if You are selected as a Catalyst Awardee, You may be required to enter into a separate written agreement, which will govern ownership and use of all Intellectual Property Rights created as a result of the Award, including, if applicable, licenses to The Roddenberry Foundation.

7. License to Use

Portions of Your application, including *Applicant Name*, *Name of Initiative*, and *Vision*, may be published on this website; the remainder of Your application will only be viewed by Catalyst Fund administrators. By submitting an application, You have granted The Roddenberry Foundation a license to post portions of it on our website.

8. Prohibited Use of Award Funds

Catalyst Fund Award funds must be used for the project for which they are awarded, and may not be used:

- For non-charitable or non-educational purposes;
- To carry on propaganda or otherwise to attempt to influence legislation within the meaning of Code Section 4945(d)(1);
- To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive within the meaning of Code Section 4945(d)(2); or
- To pay fees or wages for the services of fundraising or fundraising consulting firms.
- To make a grant to any individual for travel, study, or other similar purposes, or to make a grant to any organization, except in compliance with the provisions of Sections 4945(g) or (h), as the case may be.

9. Grant Agreement

The successful applicant or Fellow will be expected to enter into a written grant agreement with The Roddenberry Foundation containing key terms and conditions which are separate from these RULES posted on this Website.

NOTE: Each grant agreement governing the use of Awards may vary, depending on the nature of the project and the individual receiving the Award.

10. Other Rules

10.1 Your application must be in English.

10.2 You must complete registration to participate in the Catalyst Fund. Individuals must be 18 years of age or older at the time of submission. You cannot reside in nor be governed by countries that are prohibited by law, regulation (including United States or other applicable export laws and regulations), treaty or administrative act from entering into trade relations (including export of technology) with the United States of America or its citizens.

10.3 You must meet the application requirements stipulated on this Website. You must comply with all deadlines posted on this Website. You may not, in the sole and unfettered discretion of the Roddenberry Foundation, contain obscene, provocative, defamatory, or otherwise objectionable or inappropriate content. The Roddenberry Foundation reserves the right to cancel, modify or suspend the Catalyst Fund in whole or in part without liability to You. The Roddenberry Foundation also reserve the right to disqualify You from participating.

- 10.4** By participating in the Roddenberry Catalyst Fund, You represent, warrant, and agree that:
- a. You are the sole author, creator, and owner of the ;
 - b. the is not the subject of any actual or threatened litigation or claim;
 - c. the does not and will not violate or infringe upon the Intellectual Property Rights, privacy rights, publicity rights, or other legal rights of any third party;
 - d. the does not and will not contain any harmful computer code (sometimes referred to as "malware," "viruses" or "worms"); and
- 10.5** You may participate by registering, but You may not register more than one true and uniform identity; multiple registrations for a participant using multiple identities are not allowed. The Roddenberry Foundation reserve the right to disqualify any made by a participant violating this limitation, regardless of whether all of the respective parties had knowledge of such violation.
- 10.6** By submitting Your application, You agree (on behalf of You and Your organization) irrevocably to release, discharge and hold harmless the Roddenberry Foundation and their partners, affiliates, subsidiaries, advertising agencies, agents and their employees, officers, directors and representatives from any Loss arising out of Your participation in the Catalyst Fund and the acceptance and use, misuse, or possession of any Award(s). The Roddenberry Foundation does not assume responsibility for any error, omission, interruption, deletion, defect, or delay in operation or transmission; communications line failure; theft or destruction of or unauthorized access to Competition or forms; or alteration of or forms. The Roddenberry Foundation is not responsible for any problems with or technical malfunction of any telephone network or lines, computer online systems, servers or providers, computer equipment, software, failure of any to be received on account of technical problems or traffic congestion on the Internet or any website, human errors of any kind, or any combination thereof, including any injury or damage to Competitors' or any other persons' computers related to or resulting from participation, uploading or downloading of any materials related to this Catalyst Fund.
- 10.7** THIS Catalyst Fund IS VOID WHERE PROHIBITED. Applicants agree that this Catalyst Fund shall be subject to and governed by the laws of the United States of America and the forum of any dispute shall be in the courts of the United States of America.
- 10.8** If for any reason the Catalyst Fund is not capable of running as planned, due to infection by computer virus, bugs, worms, Trojan horses, denial of service, tampering, unauthorized intervention, fraud, technical failures or any other causes beyond the control of Competition Sponsor that corrupt or affect the administration, security, fairness, integrity, or proper conduct of this Catalyst Fund, Roddenberry Foundation reserve the right, at their sole discretion, to disqualify any individual(s) who tamper with the process, and/or to cancel, terminate, modify, or suspend the Catalyst Fund.
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- 10.9** The Roddenberry Foundation reserves the right in their sole discretion to disqualify any Applicant who is found to be tampering with the process or the operation of the Roddenberry Catalyst Fund, to be acting in violation of these RULES, or to be acting in an unsportsmanlike or disruptive manner, or with the intent to disrupt or undermine the legitimate operation of the Roddenberry Catalyst Fund, or to annoy, abuse, threaten, or harass any other person.
- 10.10** Application into the Roddenberry Catalyst Fund does not constitute entry into any other Roddenberry Foundation competitions or promotion that may be offered by the Foundation.
- 10.11** The Roddenberry Foundation reserve the right to disqualify any participant who violates these RULES, the TERMS and any standards of behavior expressed or implied in them.
- 10.12** The names of the Fellows will be posted on this Website for such period of time as the Roddenberry Foundation deems reasonable.

Contact Information

You may contact us with any questions or comments about these RULES. Please enter Roddenberry Catalyst Fund in the subject line of the email. You may reach us at: info@roddenberryfoundation.org